

# A Machine Learning Method for the Automatic Classification of Strokes



Henry Liu  
Advisor: Kevin Crowthers, Ph. D.



## An Image Classification System Designed to Aid and Accelerate the Stroke Diagnosis and Detection Process

### Background

#### Strokes

800,000

people in the United States alone suffer from a stroke each year. Strokes are the second leading cause of death worldwide, the leading cause of long term disability, and often leave patients with limited mobility.

#### Ischemic Strokes

This causes the brain to lose access to oxygen, resulting in the death of brain cells. Ischemic strokes occur when a blood clot or some other blockage prevents blood from reaching the brain.

Ischemic strokes occur when a blood vessel bursts in the brain, causing blood leakage and damage to surrounding brain cells.

87%

of all stroke cases are ischemic.

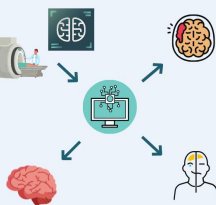
#### Methods of Diagnosis

- CT Scans
- MRI Scans
- Microwave imaging

#### Symptoms and Effects

- Numbness or weakness, especially on one side of the body
- Sudden confusion or difficulty speaking
- Sudden severe headache
- Could potentially lead to loss of mobility or death

### Abstract



### Materials



### Problem Statement

Current stroke detection methods require too much time for the interpretation of scan results and classification process, delaying treatment and increasing the chance of lasting effects.

### Engineering Objective

The objective of this project was to create a machine learning model to automatically detect and classify strokes using CT images in order to aid with the diagnostic process.

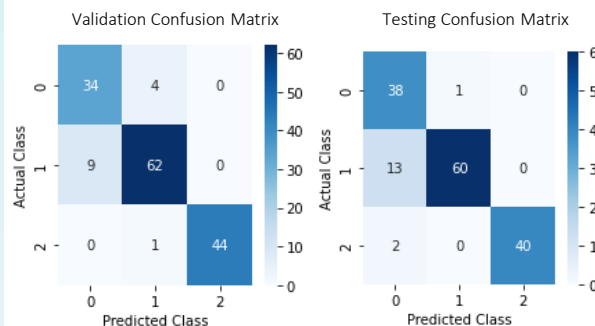
### Methods

- 01 — Pre-labeled CT images from various medical centers were collected
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- 03 — Using the images from the training and validation sets, an image classification model was developed
- 04 — The model was evaluated using the testing data, and the model's metrics were collected
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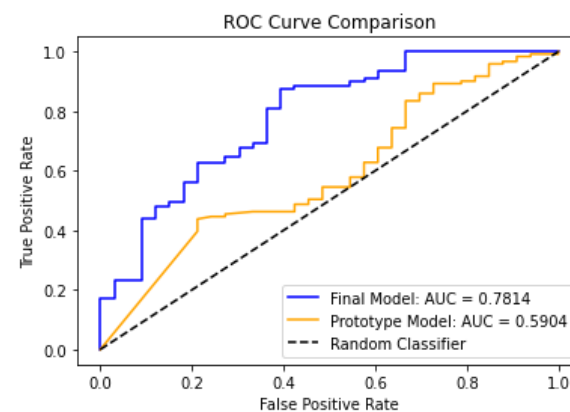
### Design Matrix

| Evaluation of Common Classification Techniques in Image Classification |      |               |     |     |     |
|------------------------------------------------------------------------|------|---------------|-----|-----|-----|
| Criteria                                                               | Max  | Decision Tree | SVM | KNN | CNN |
| Suitable with small datasets                                           | 6    | 3             | 5   | 2   | 4   |
| High accuracy of output                                                | 10   | 6             | 8   | 7   | 8   |
| High testing and training speed                                        | 9    | 6             | 8   | 4   | 8   |
| Capable of dealing with large number of features                       | 8    | 3             | 5   | 6   | 7   |
| Easily improved and configured                                         | 7    | 3             | 5   | 5   | 6   |
| Total                                                                  | 40   | 21            | 31  | 24  | 33  |
| Percent                                                                | 100% | 53%           | 78% | 60% | 83% |

### Results



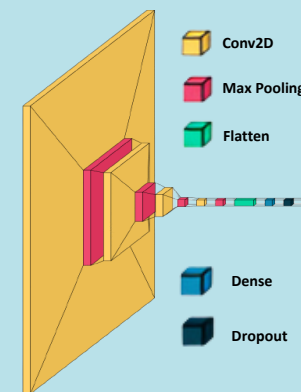
0 – Normal Scans | 1 – Hemorrhagic Strokes | 2 – Ischemic Strokes



### Conclusions

- Final model classifies strokes with **89.6%** testing accuracy and **90.3%** validation accuracy
- Demonstrates the use of neural networks as a viable method of stroke classification
- Final model returns chance of abnormality from cranial CT scan
- Final model was a significant improvement over the first model, with a p-value of **0.0001**

### Model Architecture

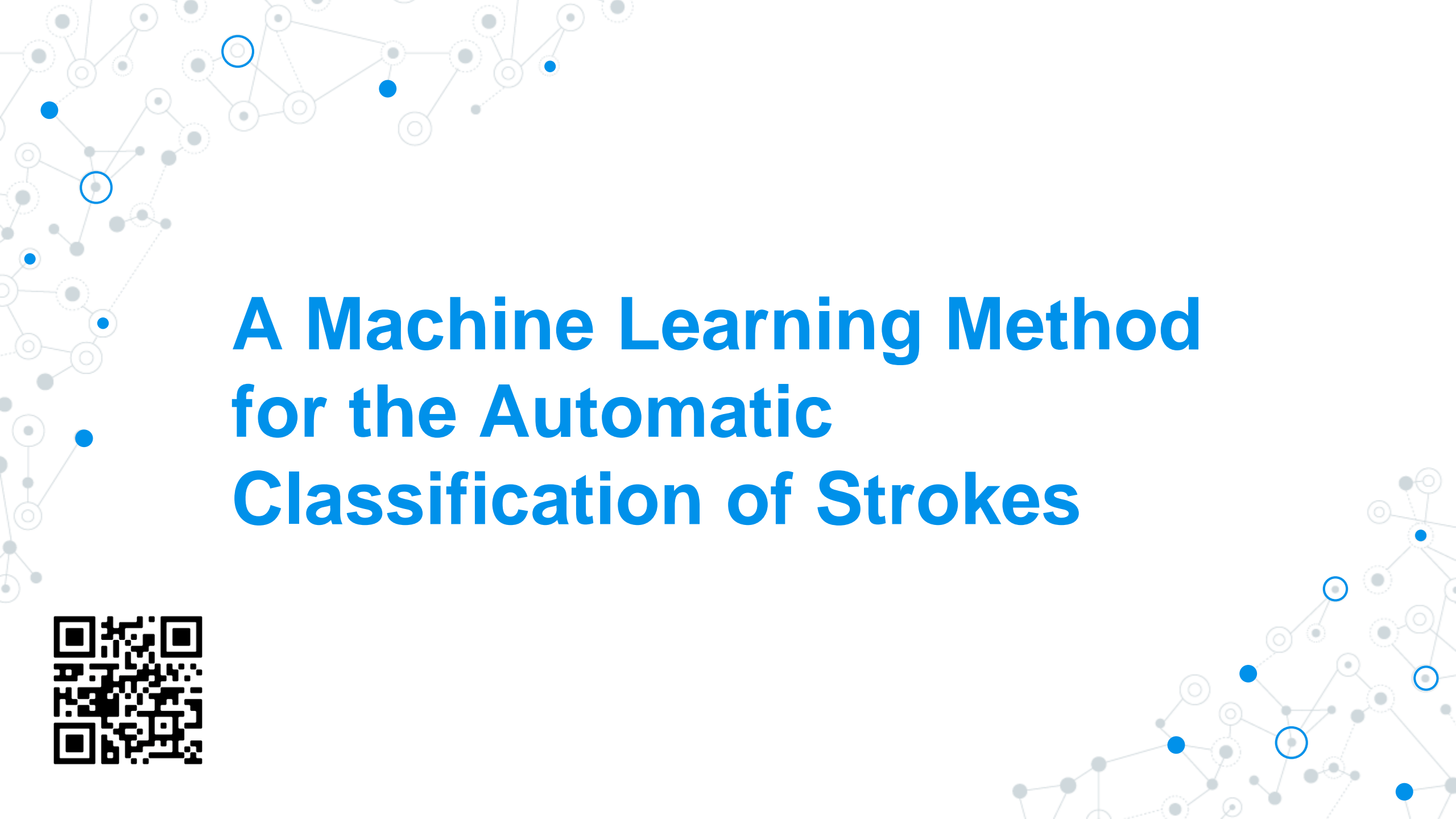


### Extensions

- Testing on larger datasets
- Increasing classes to include other cranial diseases
- Testing during the real-time stroke diagnosis process
- Testing on other types of medical imaging (MRI or microwave imaging)

### References

1. Chawla, M., Sharma, S., Sivaswamy, J., & Kishore, L. (2009). A method for automatic detection and classification of stroke from brain CT images. *2009 Annual International Conference of the IEEE Engineering in Medicine and Biology Society*. doi:10.1109/iembs.2009.5335289
2. Ireland, D., & Bialkowski, M. E. (2011). Microwave Head Imaging for Stroke Detection. *Progress In Electromagnetics Research M*, 21, 163-175. doi:10.2528/pierm11082907



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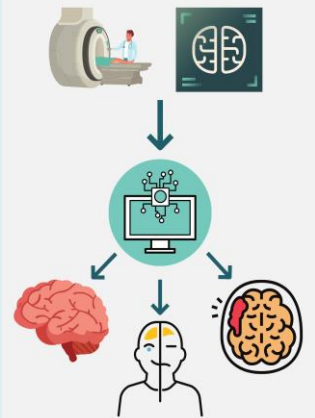
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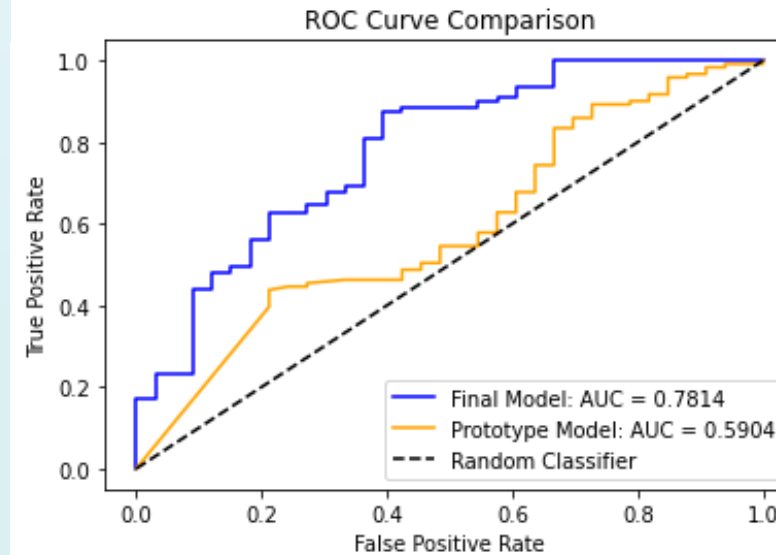
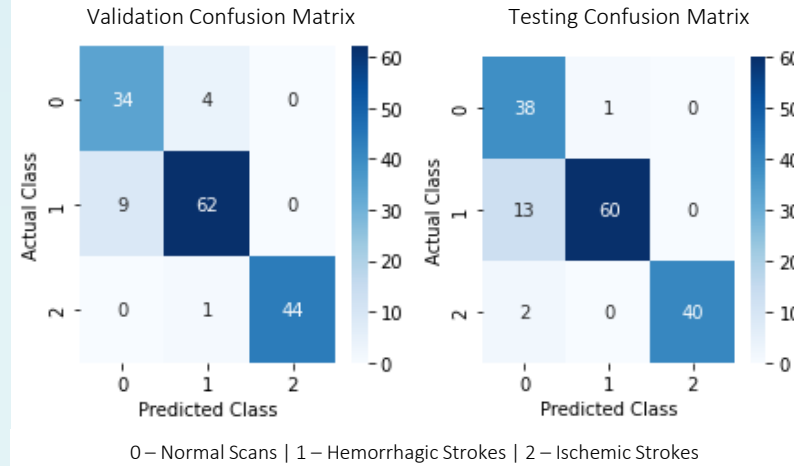
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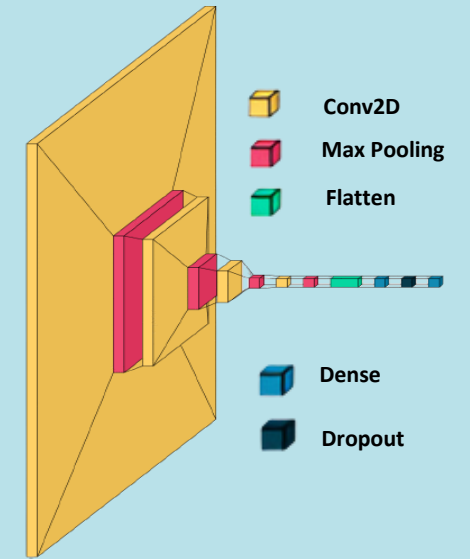
### Materials



### Results



### Model Architecture



### Conclusions

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- Testing on larger datasets
- Increasing classes to include other cranial diseases
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# Contents

1. Background and Objectives
2. Materials and Methods
3. Prototypes and Designs
4. Results
5. Analysis, Conclusions, and Extensions





1.

# Background and Objectives

Strokes

Stroke Detection

Image Classification

Project Objectives



# Strokes – General Information

- Ischemic strokes – blood clot prevents blood from reaching the brain
- Hemorrhagic strokes – blood vessel bursts in the brain and causes blood buildup

## Symptoms and Effects

- Numbness or weakness, especially on one side of the body
- Sudden confusion or difficulty speaking
- Sudden severe headache
- Could potentially lead to loss of mobility or death

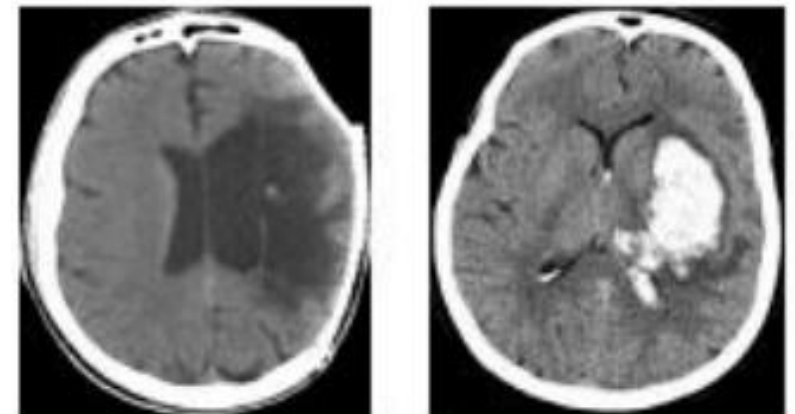


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# Stroke Detection – Diagnostic Process

- Begins with physical examination by physician
- Medical Imaging
  - CT Scans
  - MRI Scans
  - Microwave Imaging
- Examination, interpretation, and classification





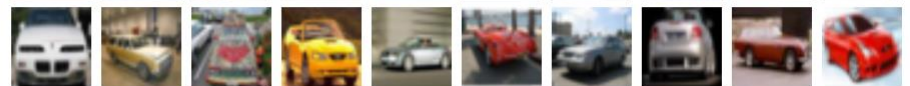
# Image Classification

- Branch of machine learning
- Supervised learning
- Models assign labels to images using patterns determined from training data

**airplane**



**automobile**



**bird**



**cat**



**deer**



**dog**





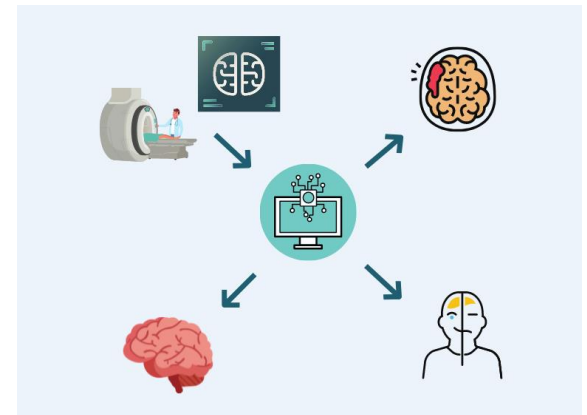
# Problem and Objective

## Problem Statement

- ⦿ The current stroke diagnostic process is hindered by the need for human interpretation and classification of medical scans
- ⦿ Requires too much time and delays treatment to the patient

## Engineering Objective

- ⦿ The objective of this project is to design an image classification model to automatically detect and classify strokes using images from CT scans





2.

# Materials and Methods

Design Criteria and Matrix  
Materials Used  
Procedures



# Design Criteria

- Be able to evaluate inputs with high number of features
- Must have high accuracy outputs
- Must have low testing and training times
- Should be suitable with small datasets (~500-1000 images)
- Should be able to be configured and improved easily

## Evaluation of Common Classification Techniques in Image Classification

| Criteria                                         | Max | Decision Tree | SVM | KNN | CNN |
|--------------------------------------------------|-----|---------------|-----|-----|-----|
| Suitable with small datasets                     | 6   | 3             | 5   | 2   | 4   |
| High accuracy of output                          | 10  | 6             | 8   | 7   | 8   |
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| Capable of dealing with large number of features | 8   | 3             | 5   | 6   | 7   |
| Easily improved and configured                   | 7   | 3             | 5   | 5   | 6   |

|         |      |     |     |     |     |
|---------|------|-----|-----|-----|-----|
| Total   | 40   | 21  | 31  | 24  | 33  |
| Percent | 100% | 53% | 78% | 60% | 83% |

## Decision Matrix

Comparing common classification algorithms and their applications in image classification

## Materials Used

Python (programming language)

Google Colaboratory (Jupyter Notebook runtime environment)

Keras (deep-learning API for Python)

Datasets of CT scans of strokes patients (both ischemic and hemorrhagic) and healthy patients



01



Prelabeled CT images from various medical centers were collected

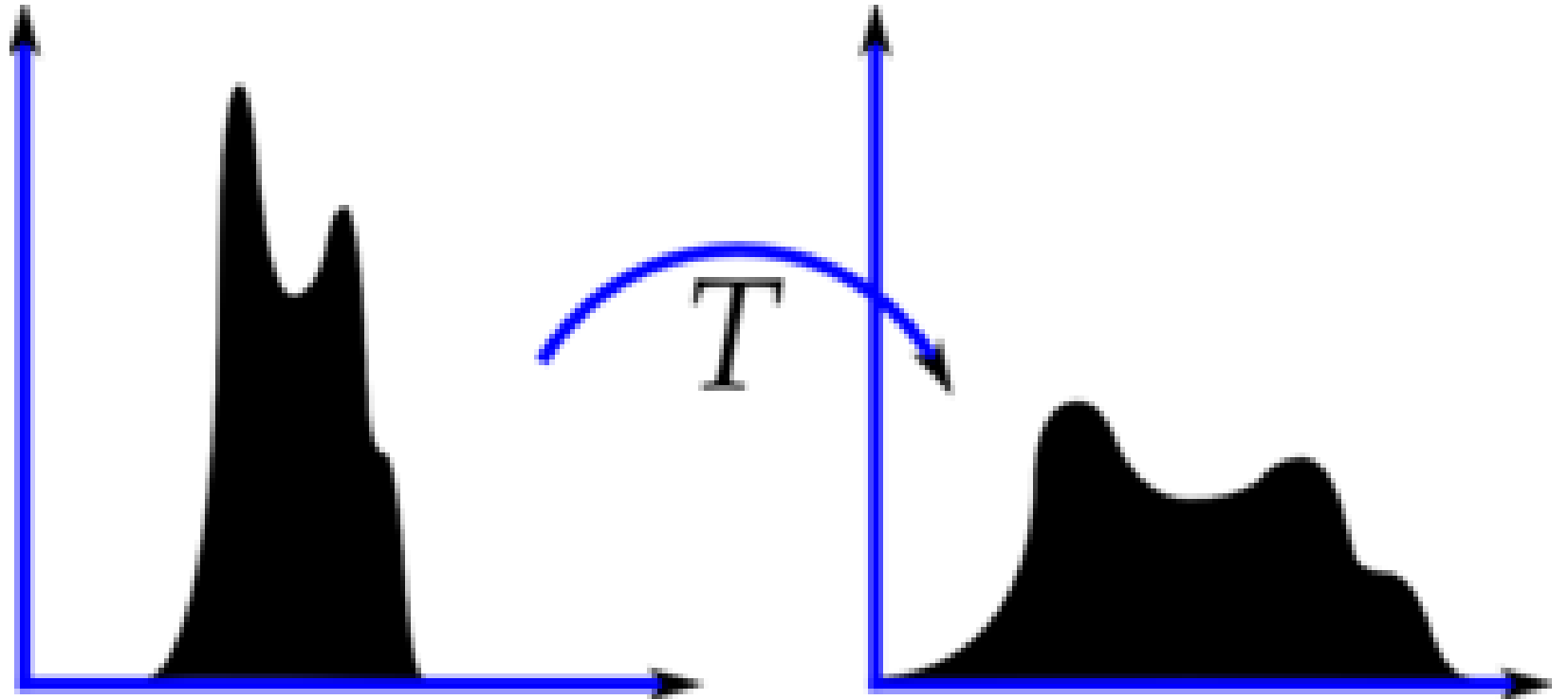
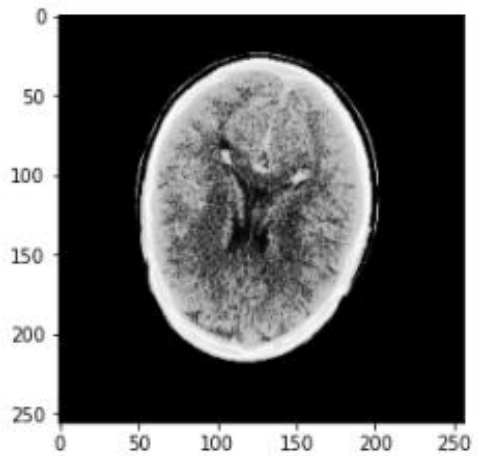
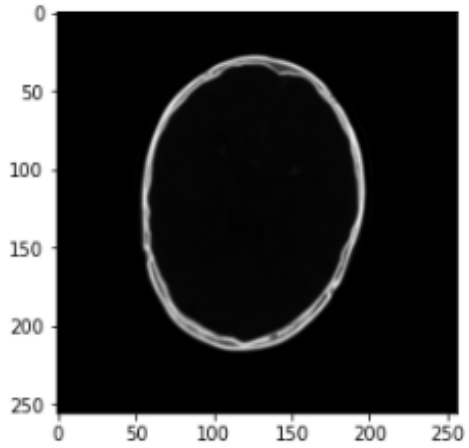
Each image was normalized and used to create a training, testing, and validation set



02

## Image Collection and Processing





**Image Equalization**

03



Using the images from the training and validation sets, an image classification model was developed

The model was evaluated using the testing data, and the model's metrics were collected



04

05



Steps 3 and 4 were repeated until the optimal model was developed

## Model Creation and Testing



3.

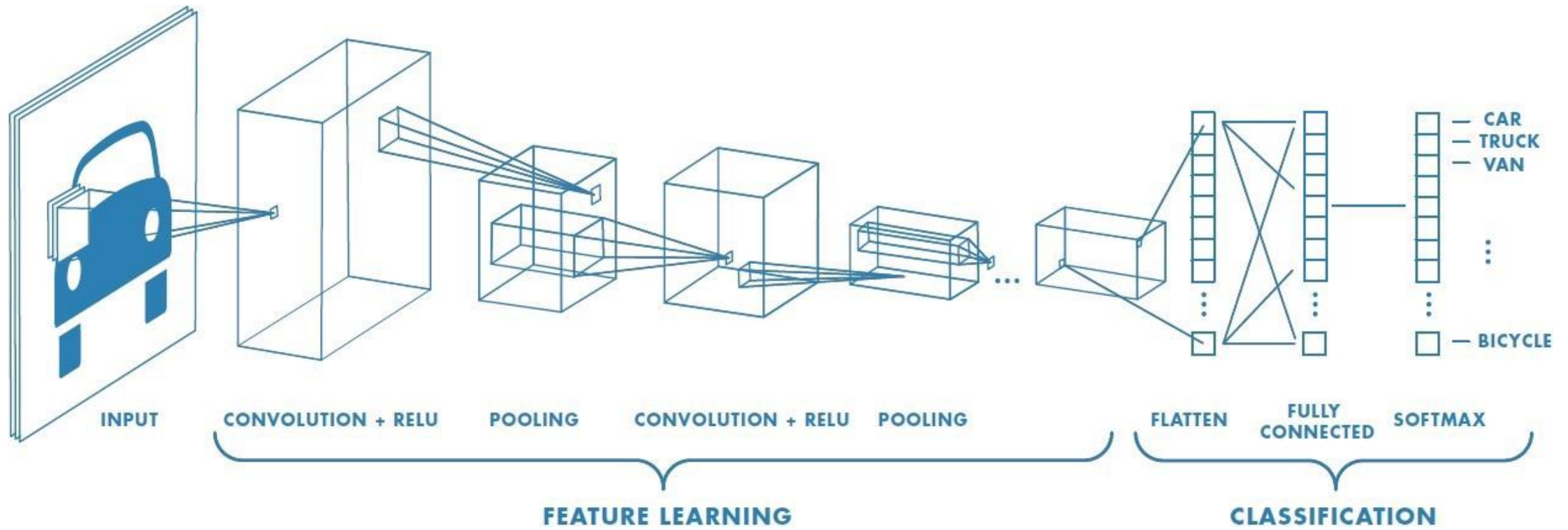
# Prototypes and Designs

Prototype Models

Primary Models

Final Model





**Example Convolutional Neural Network Structure**

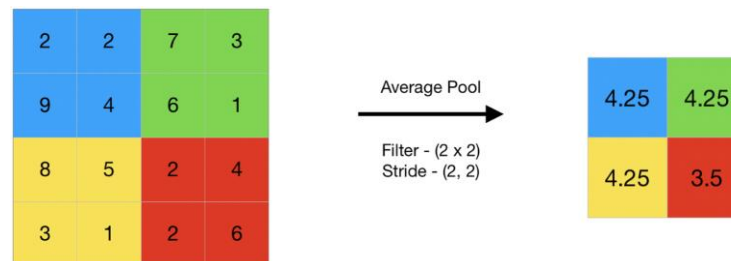
# Prototypes

## Purpose and Structure

- ◎ Binary classification models
- ◎ Used to find effective designs for later variations
- ◎ Composed of 3 convolutional layers (64 filters each, Average Pooling)

## Results

- ◎ Much overfitting (often a result of too many parameters or layers)
- ◎ Provided model to be used as baseline for primary models



# Primary Models - Architecture

## Primary Model 1

- ◎ 3 convolutional layers
  - Kernel sizes: 9x9, 5x5, 3x3
  - Filters: 32, 64, 128
- ◎ 2x2 Average Pooling layers following convolutional layers
- ◎ Flatten layer, dense layer (64 output), dense layer (final output)

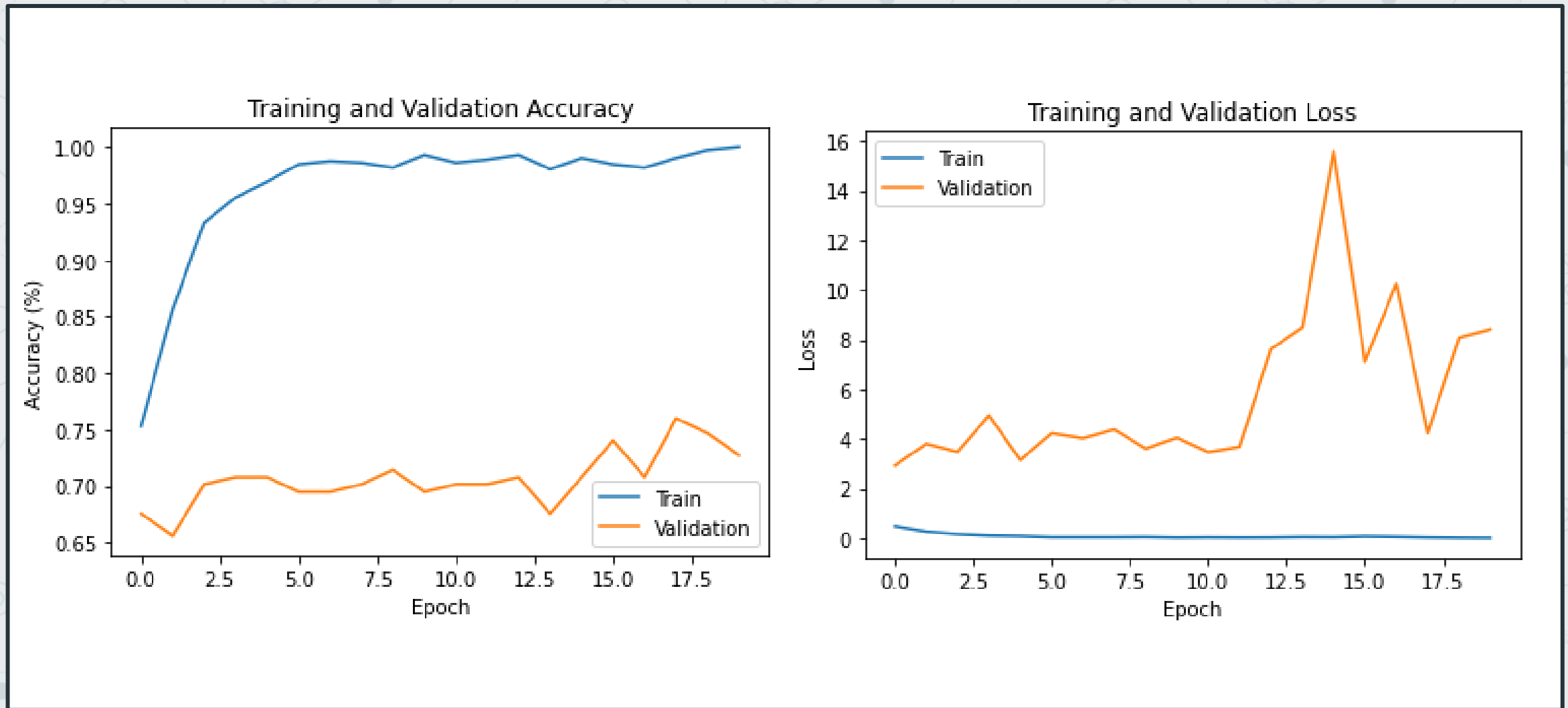
## Primary Model 2

- ◎ 3 convolutional layers
  - Kernel sizes: 9x9, 5x5, 3x3
  - Filters: 16, 32, 64
- ◎ 2x2 **Max Pooling** layers following convolutional layers
- ◎ Flatten layer, dense layer (64 output), dense layer (final output)

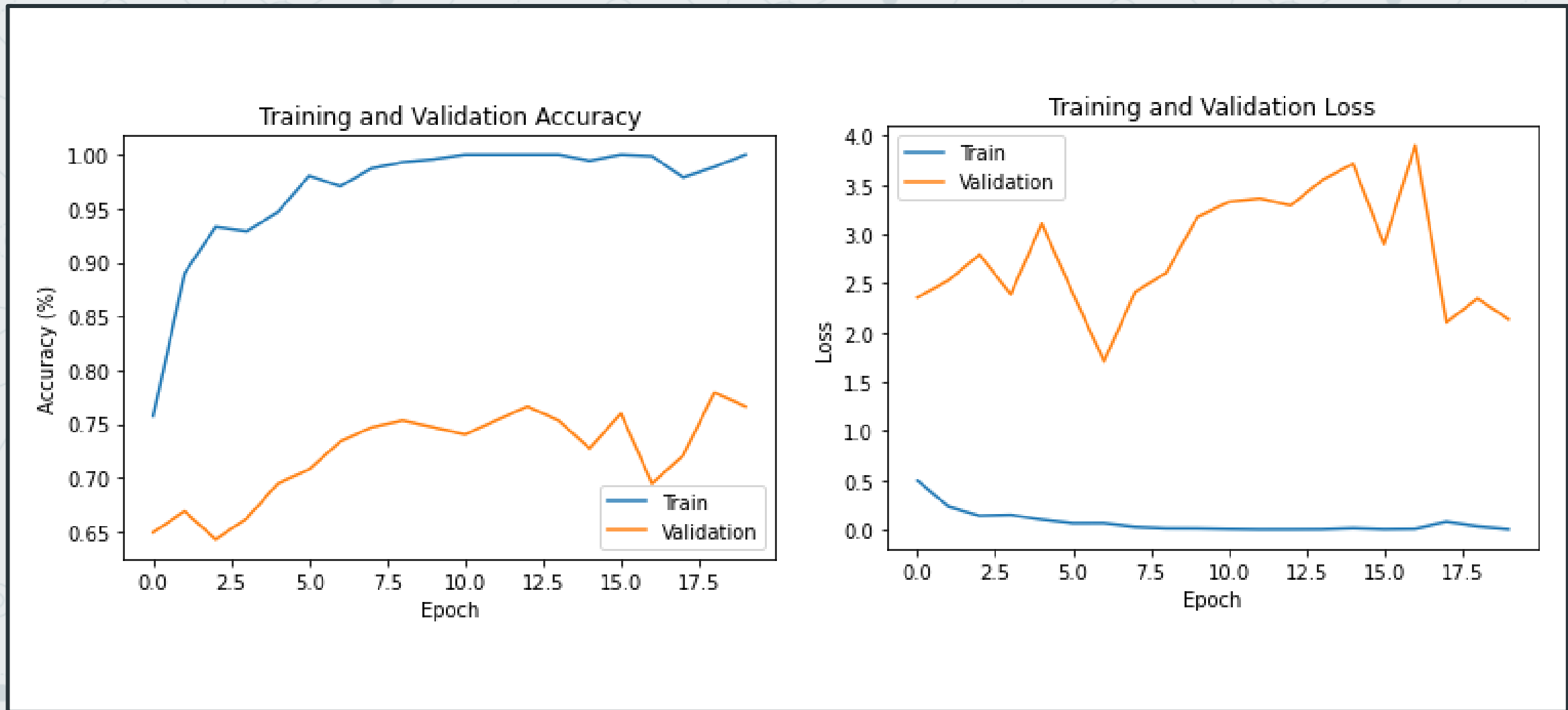
## Final Model

- ◎ 4 convolutional layers
  - Kernel sizes: 9x9, 7x7, 7x7, 3x3
  - Filters: 32, 64, 64, 128
- ◎ 3x3 or 2x2 Max Pooling layers following convolutional layers
- ◎ Flatten layer, dense layer (64 output), dense layer (final output)
- ◎ **50% dropout layer** following first dense layer





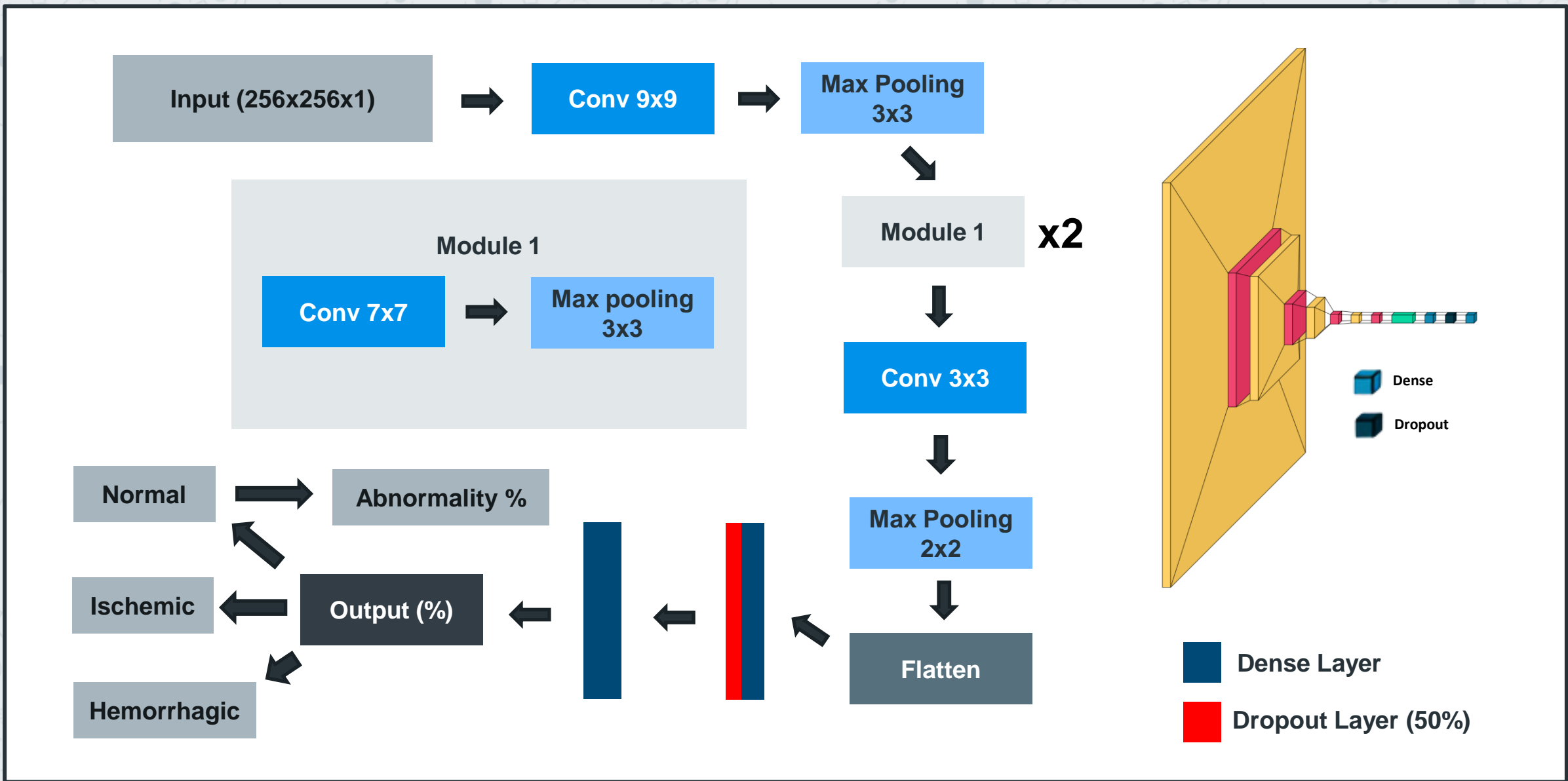
## Primary Model 1 – Prototype Design



## Primary Model 2 – Introduction of Max Pooling



## Primary Model 3 – Introduction of Dropout Layer



# Final Model – Architecture



4.

# Results

Model Metrics

Final Model Results



# Model Comparison (Metrics)

## Primary Model 1

- Accuracy: 76.6%
- Loss: 7.839
- Runtime: 53ms per batch
- 3,085,315 parameters
- Severe overfitting to training data

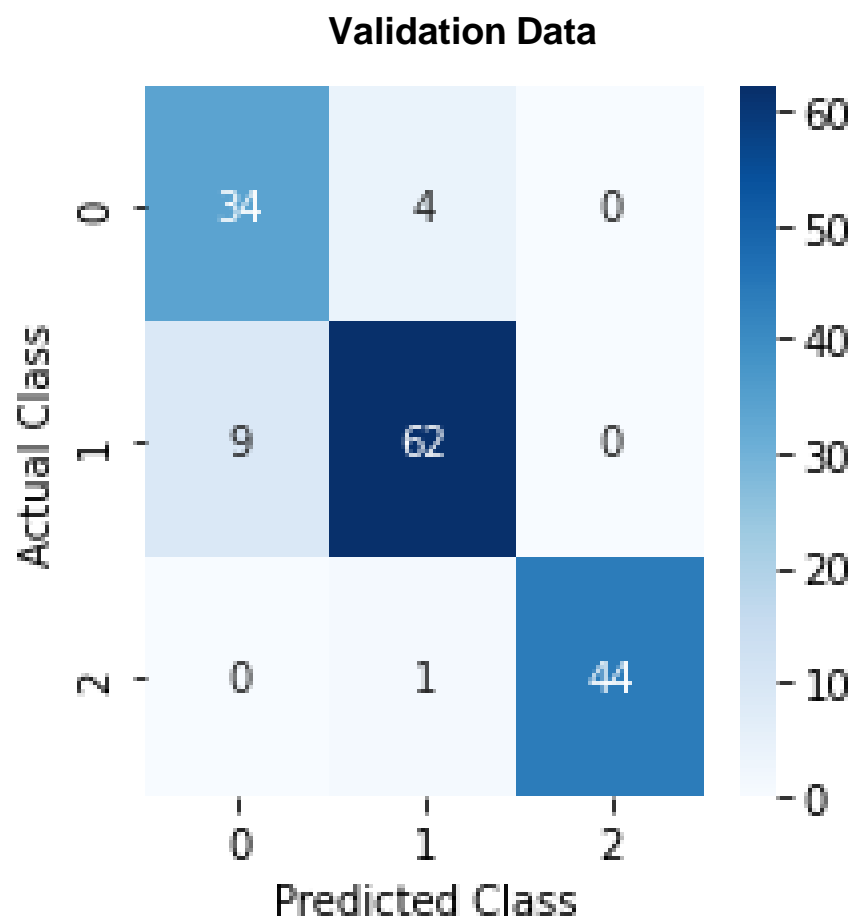
## Primary Model 2

- Accuracy: 79.2%
- Loss: 2.239
- Runtime: 26ms per batch
- 557,443 parameters
- Moderate overfitting to data

## Final Model

- Accuracy: 89.6%
- Loss: 0.223
- Runtime: 19ms per batch
- 410,691 parameters
- Highest accuracy and lowest loss values





## Final Model – Confusion Matrices



5.

# Analysis, Conclusion, and Future Extensions

Result Analysis and Significance

Conclusions

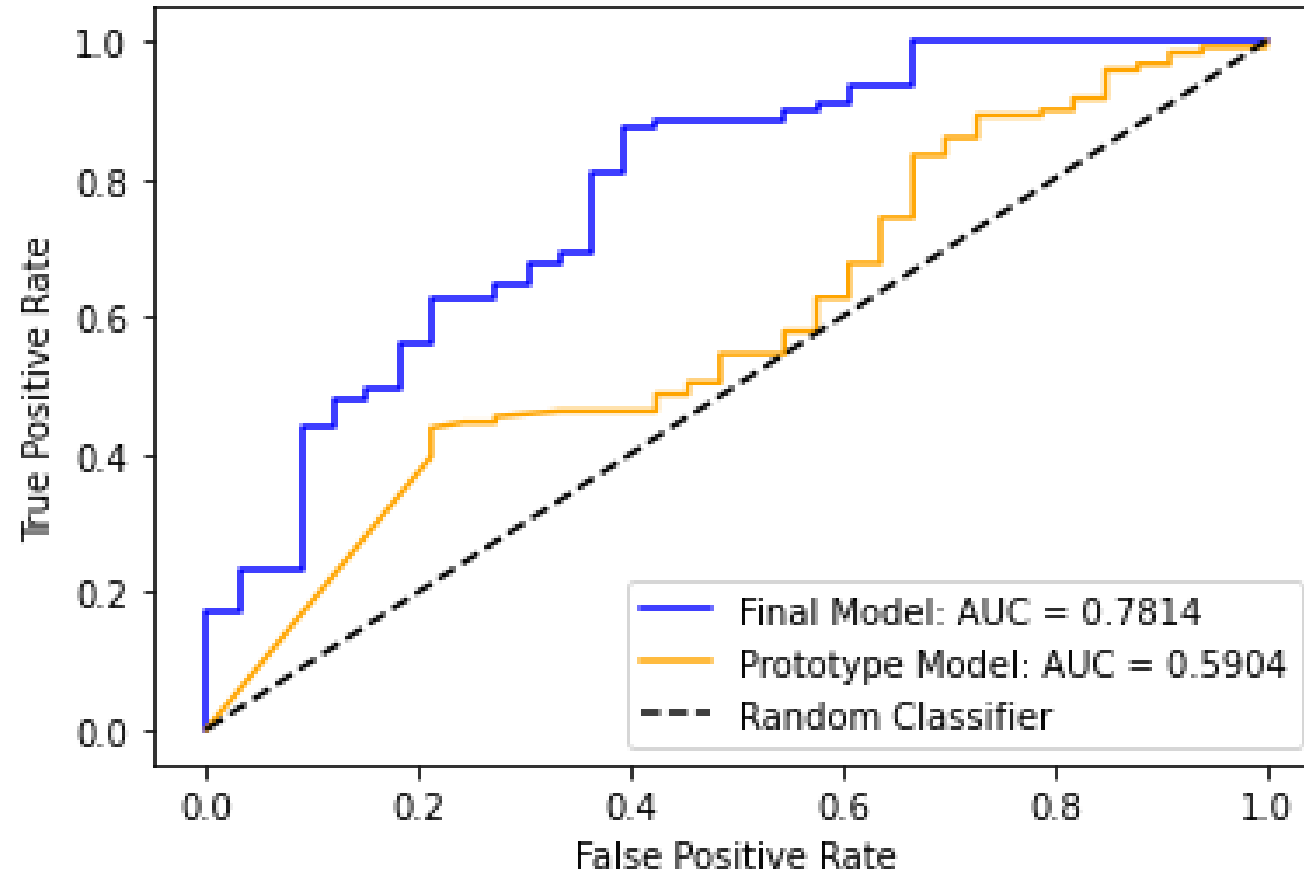
Future Possibilities



## Model Comparison – McNemar's Test

|         |           | Model 1 |           |         |
|---------|-----------|---------|-----------|---------|
|         |           | Correct | Incorrect | Total   |
| Model 3 | Correct   | 114     | 25        | 139     |
|         | Incorrect | 4       | 11        | 15      |
|         | Total     | 118     | 36        | 154     |
|         |           |         | $\chi^2$  | 15.2069 |
|         |           |         | p-value   | 0.0001  |

ROC Curve Comparison



## Model Analysis – ROC Curve and Precision-Recall

## Conclusion

- ◎ Final model classifies with high accuracy
- ◎ **89.6%** testing accuracy and **90.3%** validation accuracy
- ◎ Demonstrates the use of neural networks as a viable method of stroke classification
- ◎ Final model able to return chance of abnormality from cranial CT scan
- ◎ Final model was a significant improve over the primary model 1, with a p-value of  $\sim 0.0001$

## Future Extensions

- ◎ Testing on larger datasets
- ◎ Increasing classes to include other diseases
- ◎ Testing during the real-time stroke diagnosis process
- ◎ Testing on other types of medical imaging



# References

About Stroke. (2021, August 02). Retrieved from <https://www.cdc.gov/stroke/about.htm>

Chawla, M., Sharma, S., Sivaswamy, J., & Kishore, L. (2009). A method for automatic detection and classification of stroke from brain CT images. *2009 Annual International Conference of the IEEE Engineering in Medicine and Biology Society*. doi:10.1109/iembs.2009.5335289

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Soofi, A., & Awan, A. (2017). Classification Techniques in Machine Learning: Applications and Issues. *Journal of Basic & Applied Sciences*, 13, 459-465. doi:10.6000/1927-5129.2017.13.76

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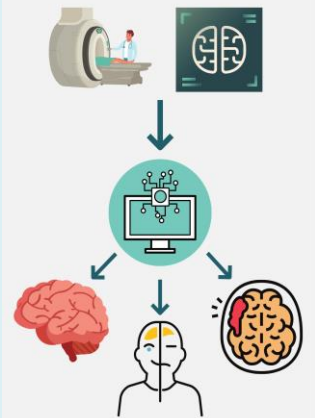
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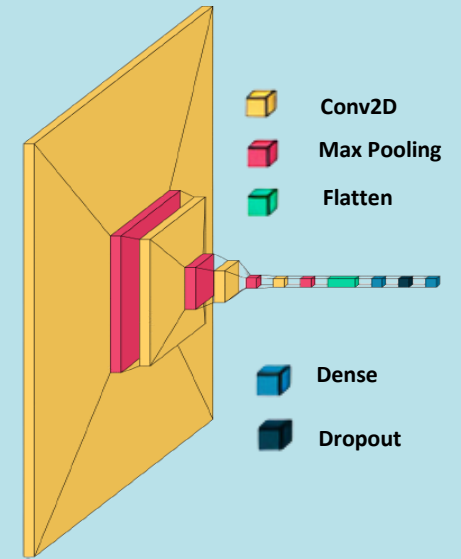
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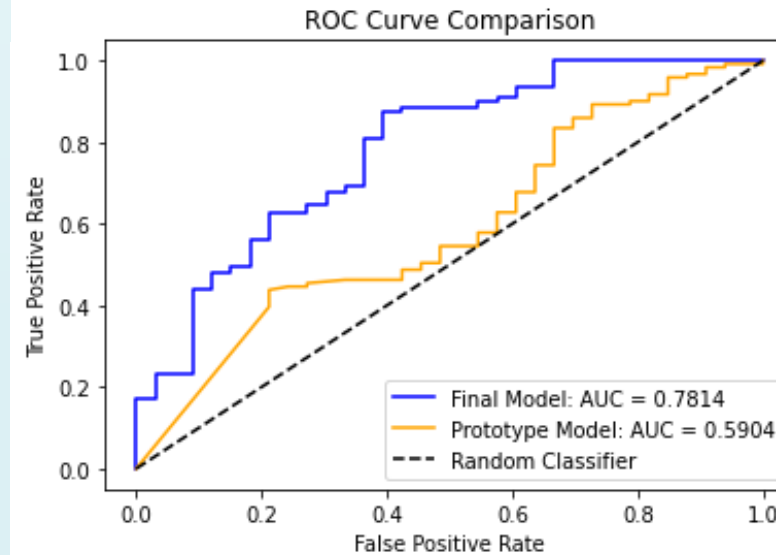
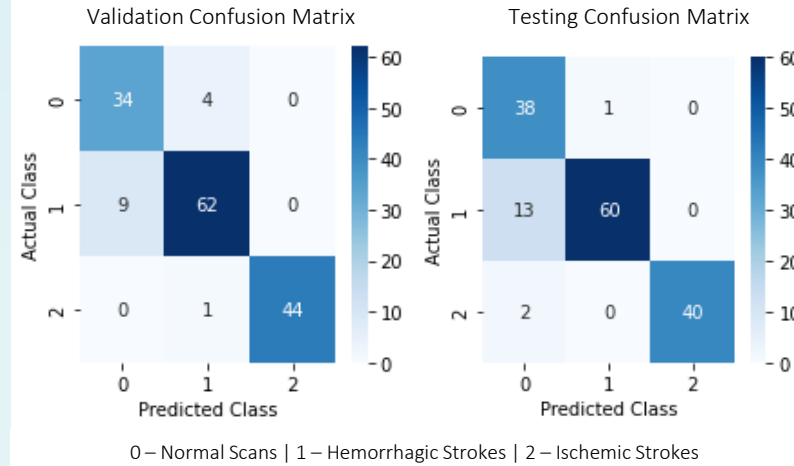
### Materials



### Model Architecture



### Results



### Conclusions

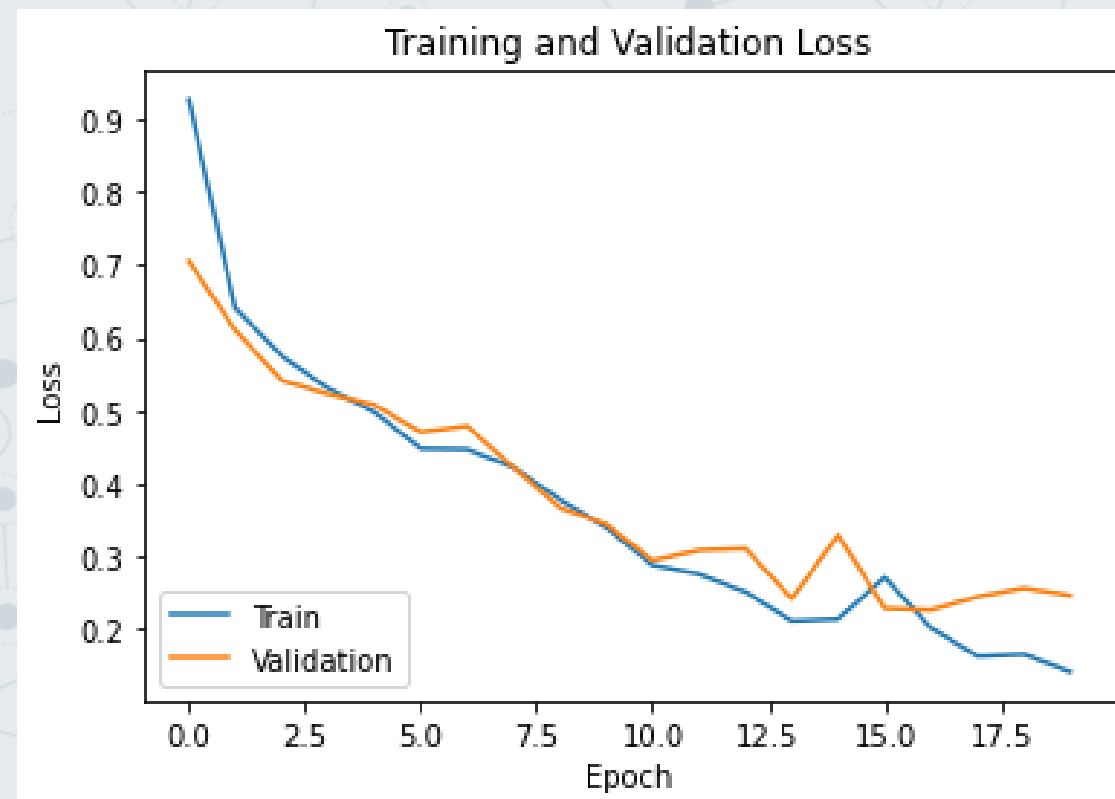
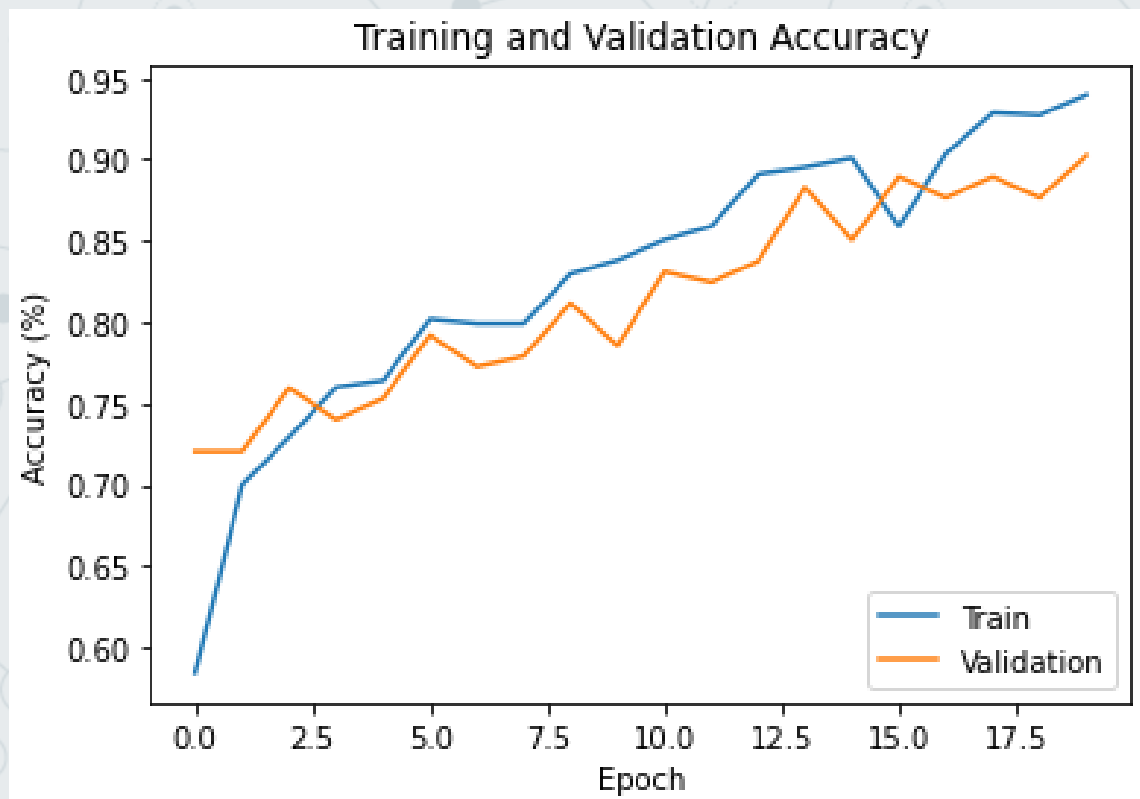
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# Appendices



| Layer (type)                           | Output Shape         | Param # |
|----------------------------------------|----------------------|---------|
| conv2d (Conv2D)                        | (None, 248, 248, 32) | 2624    |
| average_pooling2d (AveragePooling2D)   | (None, 124, 124, 32) | 0       |
| conv2d_1 (Conv2D)                      | (None, 120, 120, 64) | 51264   |
| average_pooling2d_1 (AveragePooling2D) | (None, 40, 40, 64)   | 0       |
| conv2d_2 (Conv2D)                      | (None, 38, 38, 128)  | 73856   |
| average_pooling2d_2 (AveragePooling2D) | (None, 19, 19, 128)  | 0       |
| flatten (Flatten)                      | (None, 46208)        | 0       |
| dense (Dense)                          | (None, 64)           | 2957376 |
| dense_1 (Dense)                        | (None, 3)            | 195     |
| =====                                  |                      |         |
| Total params: 3,085,315                |                      |         |
| Trainable params: 3,085,315            |                      |         |
| Non-trainable params: 0                |                      |         |

| Layer (type)                   | Output Shape         | Param # |
|--------------------------------|----------------------|---------|
| conv2d (Conv2D)                | (None, 248, 248, 16) | 1312    |
| max_pooling2d (MaxPooling2D)   | (None, 82, 82, 16)   | 0       |
| conv2d_1 (Conv2D)              | (None, 78, 78, 32)   | 12832   |
| max_pooling2d_1 (MaxPooling2D) | (None, 26, 26, 32)   | 0       |
| conv2d_2 (Conv2D)              | (None, 24, 24, 64)   | 18496   |
| max_pooling2d_2 (MaxPooling2D) | (None, 8, 8, 64)     | 0       |
| flatten (Flatten)              | (None, 4096)         | 0       |
| dense (Dense)                  | (None, 128)          | 524416  |
| dense_1 (Dense)                | (None, 3)            | 387     |
| =====                          |                      |         |
| Total params: 557,443          |                      |         |
| Trainable params: 557,443      |                      |         |
| Non-trainable params: 0        |                      |         |

```
accuracy, outputs, spec_acc = testModel(X_test, y_test)
print(accuracy)
print(outputs)
print(spec_acc)
```

```
0.8961038961038961
```

```
[1, 0.4173205494880676, 0.02806854248046875, 0.0064313411712646484, 2, 2,
 [0.9743589743589743, 0.821917808219178, 0.9523809523809523, [15, 1, 0]]
```



$$L(w) = - \sum_{i=1}^N y_i \cdot \log(\hat{y}_i)$$

$$\phi(x) = \max(0, x)$$

$$\sigma(\vec{z}) = \frac{e^{z_i}}{\sum_{j=1}^K e^{z_j}}$$